

**ES – CSDR**  
**LIST OF SERVICES**

<b>Section A</b>	
<b>Core services of central securities depositories</b>	
1. Initial recording of securities in a book-entry system ('notary service');	<b>Yes</b>
2. Providing and maintaining securities accounts at the top tier level ('central maintenance service');	<b>Yes</b>
3. Operating a securities settlement system ('settlement service').	<b>Yes</b>
<b>Section B</b>	
<b>Non-banking-type ancillary services of CSDs that do not entail credit or liquidity risks</b>	
Services provided by CSDs that contribute to enhancing the safety, efficiency and transparency of the securities markets, which may include but are not restricted to:	
1. Services related to the settlement service, such as:	
(a) Organising a securities lending mechanism, as agent among participants of a securities settlement system;	<b>No</b>
(b) Providing collateral management services, as agent for participants in a securities settlement system;	<b>No</b>
(c) Settlement matching, instruction routing, trade confirmation, trade verification.	<b>Yes</b>
2. Services related to the notary and central maintenance services, such as:	
(a) Services related to shareholders' registers;	<b>Yes</b>
(b) Supporting the processing of corporate actions, including tax, general meetings and information services;	<b>Yes</b>
(c) New issue services, including allocation and management of ISIN codes and similar codes;	<b>Yes</b>
(d) Instruction routing and processing, fee collection and processing and related reporting.	<b>Yes</b>
3. Establishing CSD links, providing, maintaining or operating securities accounts in relation to the settlement service, collateral management, other ancillary services.	<b>Yes</b>
4. Any other services, such as:	
(a) Providing general collateral management services as agent;	<b>No</b>
(b) Providing regulatory reporting;	<b>No</b>
(c) Providing information, data and statistics to market/census bureaus or other governmental or inter-governmental entities;	<b>Yes</b>
(d) Providing IT services.	<b>No</b>
<b>Services permitted under but not explicitly listed in Section B:</b>	
Nominee services	<b>Yes</b>
Nominee services in accordance with the 4 ch. 12 § lagen (2004:46) om investeringsfonder	<b>Yes</b>

<b>Section C</b>	
<b>Banking-type ancillary services</b>	
Banking-type services directly related to core or ancillary services listed in Sections A and B, such as:	
(a) Providing cash accounts to, and accepting deposits from, participants in a securities settlement system and holders of securities accounts, within the meaning of point 1 of Annex I of Directive 2013/36/EU;	<b>No</b>
(b) Providing cash credit for reimbursement no later than the following business day, cash lending to pre-finance corporate actions and lending securities to holders of securities accounts, within the meaning of point 2 of Annex I to Directive 2013/36/EU;	<b>No</b>
(c) Payment services involving processing of cash and foreign exchange transactions, within the meaning of point 4 of Annex I to Directive 2013/36/EU;	<b>No</b>
(d) Guarantees and commitments related to securities lending and borrowing, within the meaning of point 6 of Annex I to Directive 2013/36/EU;	<b>No</b>
(e) Treasury activities involving foreign exchange and transferable securities related to managing participants' long balances, within the meaning of points 7(b) and (e) of Annex I to Directive 2013/36/EU.	<b>No</b>