



Post-trade made easy

Sire for issuers - a new and easy way to manage your insider registers

"Issuers choose to work with us for our ability to cut complexity and risk. We offer fast and cost-effective securities issuance and distribution services."

Esa Kankkunen,
Sales and Relationship Manager,
Euroclear Finland.

With the European Parliament and European Council Market Abuse Regulation (MAR) now in force, issuers need to comply with additional disclosure and reporting obligations. Our Insider Register Service, Sire, can help you meet these obligations.

What is the Market Abuse Regulation?

The Market Abuse Regulation (MAR) was designed to:

- increase market integrity and investor protection
- enhance the attractiveness of securities markets to generate financing

It contains provisions to detect and prevent insider dealing, unlawful disclosure of inside information and market manipulation.

Issuers are now faced with stricter obligations to disclose information on their staff with access to information that could lead to market abuse. This means that having a correct and up-to-date view on your staff with such access is now more important than ever.

Sire - a helping hand to meet your MAR obligations

Create and manage your 'insider register'

Using Sire, you can create and manage an 'insider register' of people with access to inside information within your organisation. This can include the details of persons subject to the disclosure obligation, persons closely associated with them, and the corporations in which they exercise control or influence. You can create the following registers:

- register of managerial and their closely associated persons with notification requirements
- project-specific register
- permanent non-public register

We also offer issuers the following reporting services:

- Owner Info
 - shareholder information
 - option tracking
 - share tracking
- General meeting services
- Dividend payment services
 - dividend payment information reporting
 - taxation reports related to the payment of profits

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You can store the information required under the MAR directly in the register. Additional features include:

- a function to browse historical information, starting from the creation date of each register
- an audit trail, by request, for updates and changes (with a user ID and a time stamp)

Information at your fingertips

Sire offers you an extensive range of reporting options that meet Financial Supervisory Authority standards:

- Insider lists
- List of management with notification responsibilities
- Management's holdings
- List of insider registers

All old reports created before the MAR came into force will be available as historical information.

Good to know

The Sire user interface and reports are available in Finnish, Swedish and English and reports can be generated in PDF or XML format. You can:

- order an unlimited number of reports without extra cost.
- report transactions via the Sire user interface
- add instruments/securities outside the book-entry system for transaction reporting



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